



Office of Sponsored Programs
224 Morgan Hall
2621 Morgan Circle Drive
Knoxville, TN 37996-4514
865-974-7357 Fax: 865-974-7451
aggrant@utk.edu

Non-FDP Subrecipient Commitment Form

PTE Legal Name: The University of Tennessee

PTE Principal Investigator: _____

Prime Awarding Agency: _____ Start Date: _____ End: _____

Project Title: _____

UTIA Identifier: _____ UTIA Coordinator email: _____

Subrecipient Information

Subrecipient Legal Name: _____

Subrecipient UEI: _____ Grant Preparation Contact: _____

Performance Site Address: _____

Congressional District: _____ Institution Type: _____

Anticipated Subaward Amount: _____ Subaward Start: _____ End: _____

Cost Share Amount (if any): _____ Cost Share Type: _____

Subrecipient PI: _____ PI Title: _____

Sub PI Address (City, State, ZIP+4): _____

PI Phone: _____ PI Email: _____ PI Project Role: _____

Subrecipient has a federally negotiated rate: _____ F&A Rate Used: _____

If using Federally Negotiated Indirect Cost Rate (NIDCR) - Type: _____

If not using NIDCR, Type: _____ Reason: _____

If not using NIDCR, has Subrecipient previously had a federally approved/negotiated rate? _____

Will subrecipient work involve Human Subjects? _____ IRB Status: _____

Will subrecipient work use human specimens and/or data: _____

Will subrecipient work involve Vertebrate Animals? _____ IACUC Status: _____

If vertebrate animals will be euthanized, is method consistent with AVMA guidelines? _____

Is the subrecipient proposing the use of potential biological or other hazards? _____

Does the subrecipient's scope of work include any equipment, technology, materials, software, or other defense articles listed on the United States Munitions List (USML) under the [International Traffic in Arms Regulations \(ITAR\)](#)?

Does the subrecipient's scope of work involve technology or equipment with a strong potential dual-use (civilian and military) application listed on the Commerce Control List (CCL) under the [Export Control Regulations \(EAR\)](#)?

Is the subrecipient subcontracting a substantial and/or crucial part of the subrecipient's scope of work to a third party?

Is the PI or any other employee or student participating in this project debarred, suspended or otherwise excluded from or ineligible for participation in federal assistance programs or activities?

The subrecipient organization/institution certifies that

it is NOT presently debarred, suspended, proposed for debarment, or declared ineligible for award of federal contracts;

it is NOT presently indicted for, or otherwise criminally or civilly charged by a governmental entity; and within three (3) years preceding this offer, it has NOT been convicted of or had a civil judgment rendered against them for commission of fraud or criminal offense in connection with obtaining, attempting to obtain, or performing a public (federal, state or local) contract or subcontract; violation of Federal or State antitrust statutes relating to the submission of offers; or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements or receiving stolen property.

Does this application follow the PHS FCOI requirements?

If yes, please select one of the following options:

Subrecipient hereby certifies that it has an active and enforced conflict of interest policy that is consistent with the provision of 42 CFR Part 50, Subpart F "Responsibility of Applicants for Promoting Objectivity in Research." Subrecipient also certifies that, to the best of Subrecipient's knowledge, (1) all financial disclosures have been made related to the activities that may be funded by or through a resulting agreement and required by its Conflict of Interest policy; and (2) all identified conflicts of interest have or will have been satisfactorily managed, reduced or eliminated in accordance with Subrecipient's conflict of interest policy prior to the expenditures of any funds under any resultant agreement.

Subrecipient does not have an active and/or enforced Conflict of Interest policy and hereby agrees to abide by UT's policy. UT's policy is available online. Disclosures must be submitted online.

Please add any comments here (attach additional pages if necessary):

PROPOSAL DOCUMENTS

The following documents are included in the Subrecipient's subaward proposal submission:

Detailed Budget

Budget Justification

Negotiated Indirect Cost Rate Agreement or URL:

Statement of Work (up to 4000 characters, including spaces, for FFATA reporting, if applicable)

Letter of Intent signed by the Subrecipient's Authorized Official

Other Documents as requested (e.g., Facilities & Other Resources, Equipment documents, Postdoc Mentoring Plan, Data Management Plan, etc.)

Key Personnel Documents (In agency-required format: Biographical Sketch, Current and Pending Support, Conflict of Interest Documentation, as applicable)

SIGNATURE

The information, certifications and representations above have been read, signed and made by an authorized official of the Subrecipient named herein. The appropriate programmatic and administrative personnel involved in this application are aware of the prime agency's policy in regard to subawards and are prepared to establish the necessary inter-institutional agreements consistent with those policies. Incorrect or misleading statements may result in the delay, non-issuance or termination of a subaward.

Name and Title of Authorized Organizational Official _____

Signature of Subrecipient's Authorized Organizational Official

Date